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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,067	07/23/2001	Michael Loddock	PRINZ H 1718

CONFIRMATION NO. 2336

FORMALITIES LETTER



OC000000006520404

HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C.
130 W. Cushing Street
Tucson, AZ 85701

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF LODDOCH et al

APPLN. NO.: 09/911,067

FILED: July 23, 2001

FOR: OPTICAL TRANSCEIVER

GROUP: 2633

EXAMINER:

DOCKET: PRINZ H1718

Commissioner of Patents & Trademarks
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND
SUBMISSION OF ASSIGNMENT; PRIORITY DOCUMENT
AND PRIOR ART DISCLOSURE STATEMENT**

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed September 6, 2001 (copy attached), submitted herewith is a three (3) page Declaration and Power of Attorney form executed by the inventor(s) with respect to the above-identified patent application.

Also enclosed are the following: a two (2) page Assignment affixed to a completed form PTO-1595; a certified copy of German Patent Application No. 200 13 088.9 in support of Applicant's priority claim under 35 USC 119; a Prior Art Disclosure Statement, with U.S. Patent Office Form PTOL-1449, a copy of the German Search Report and copies of the prior art references listed thereon; and form PTO-2038 authorizing credit card payment in the amount of \$170.00 to cover the \$130.00 surcharge and the \$40.00 assignment recordal fee.

In the event there are any fee deficiencies or additional fees are payable, please charge them (or credit any overpayment) to our Deposit Account No. 08-1391.

HAYES, SOLOWAY,
HENNESSEY, GROSSMAN
& HAGE, P.C.
P.O. BOX 3042
130 W. CUSHING ST.
TUCSON, AZ 85702-3042

TEL. 520.882.7623
FAX. 520.882.7643

Respectfully submitted,

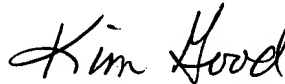


Norman P. Soloway
Attorney for Applicant
Reg. No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 23, 2001 at Tucson, Arizona.

By: _____



HAYES, SOLOWAY,
HENNESSEY, GROSSMAN
& HAGE, P.C.
P.O. BOX 3042
130 W. CUSHING ST.
TUCSON, AZ 85702-3042

TEL. 520.882.7623
FAX. 520.882.7643